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**CERTIFICATE OF MAILING**

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Tanya Parker

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Tanya Parker  
(Signature of Person Mailing Paper or Fee)

**PATENT APPLICATION**  
**Attorney Docket No. UC01-189-2**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF

)

) Examiner: Kinkead, Arnold M.

Sung-Joo Yoo

)

) Group Art Unit: 2633

Serial No. 10/075,780

)

)

Filing Date: February 12, 2002

)

)

Title: METHOD AND APPARATUS FOR OPTICAL)  
SIGNAL PROCESSING USING SUBCARRIER)  
MULTIPLEXED HEADERS )

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**AMENDMENT TRANSMITTAL LETTER**

Mail Stop: Non-Fee Amendment  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- Response under 37 C.F.R. § 1.111 to official action mailed November 28, 2003.
- A petition for extension of time is also enclosed with a fee of \$55.00 for a one-month extension for a small entity.
- Terminal disclaimer under 37 C.F.R. § 1.321(c), including
  - check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
  - 2 certificates under 37 C.F.R. § 3.73(b).
- Information disclosure statement, form 1449 and    references.
- No additional claims fees are required.

An additional fee is required, and is calculated as shown below:

AMENDED CLAIMS					
	NO. OF CLAIMS	HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	ADDTL FEE
Total Claims		MINUS = 20	0	x \$18 =	
Independent Claims		MINUS = 3	0	x \$78 =	
If Amendment adds multiple dependent claims, add \$260.00					
Total Amendment Fee					
If small entity status is claimed, subtract 50% of Total Amendment Fee					
<b>TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT</b>					<b>\$0.00</b>

A check in the amount of \$\_\_\_\_ is enclosed.  
 Charge \$\_\_\_\_ to Deposit Account No. \_\_\_\_ (Docket No. \_\_\_\_).  
 Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. SUN-P8167).

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Respectfully submitted,

By   
A. Richard Park  
Registration No. 41,241

Date: February 23, 2004



Attorney Docket: UC-01-189-2  
February 23, 2004 (8:56AM)

3825.007

### Introductory remarks

#### CERTIFICATE OF MAILING

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Date: 2/23/04

Tanya Parker

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application of:** Sung-Joo YOO

**Attorneys Docket:** UC-01-189-2

**Serial No.:** 10/075,780

**Art Unit No.:** 2633

**Filed:** February 12, 2002

**Examiner:** A. Bello

**For:** "METHOD AND APPARATUS FOR OPTICAL SIGNAL PROCESSING USING SUBCARRIER MULTIPLEXED HEADERS"

Commissioner for Patents  
Alexandria, VA 22313-1450

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AMENDMENT UNDER 37 CFR §1.111

Sir:

In response to the Office Action of November 28, 2003, please amend the above application as follows: